

# **NEW DEVELOPMENTS IN DESIGN METHODS OF PILE FOUNDATIONS**

**Pedro S. Sêco e Pinto**  
**Laboratório Nacional de Engenharia Civil**  
**and Faculty of Engineering, University**  
**University of Coimbra, Portugal**



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## **TOPICS**

- 1. Introduction**
- 2. Limit States**
- 3. Design Methods**
- 4. Geotechnical Characterisation**
- 5. Experimental Models**
- 6. Serviceability Limit States**
- 7. Gadiana Bridge**
- 8. New Tagus Bridge**
- 9. Conclusions**
- 10. My Vision-Lessons for Tomorrow**



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## LIMIT STATES

- Loss of overall stability
- Bearing resistance failure
- Uplift or insufficient resistance of pile
- Failure in ground due transverse loading
- Structural failure
- Combined failure in ground and in structure
- Excessive settlement
- Excessive heave
- Excessive lateral movements
- Unacceptable vibrations



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## DESIGN METHODS

- Design by calculation: analytical and numerical model
- Design by prescriptive measures: involves conventional and conservative rules



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## DESIGN METHODS

- Design by load tests and experimental models
  - differences in ground conditions
  - time effects
  - scale effects



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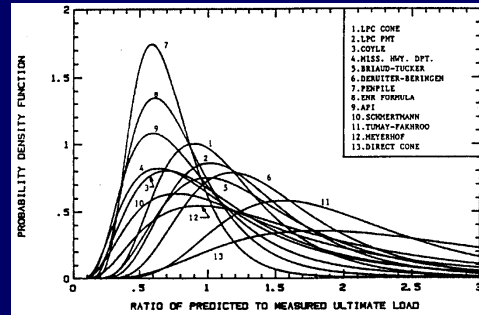
## DESIGN METHODS

- Design by observational method
  - limits of acceptable behaviour
  - range of possible behaviour
  - plan of monitoring
  - response time of the instruments
  - plan of contingency actions



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# INSTRUMENTED PILES



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Table 1 - Field tests

Test	Parameters for stress state					Strength Parameters			Parameters for deformation		
	$\gamma$	$I_d$	$K_o$	OCR	S	$S_u$	c	$\phi$	E	$G_{max}$	M
CPTU	x	x	x	x	x	x	x	x	x		x
SPT		x			x	x	x	x	x		x
Vane shear			x	x	x	x	x		x		
Pressiometer			x			x	x	x	x		
Penetrometer						x	x	x	x		
Dilatometer	x	x		x		x		x	x	x	x



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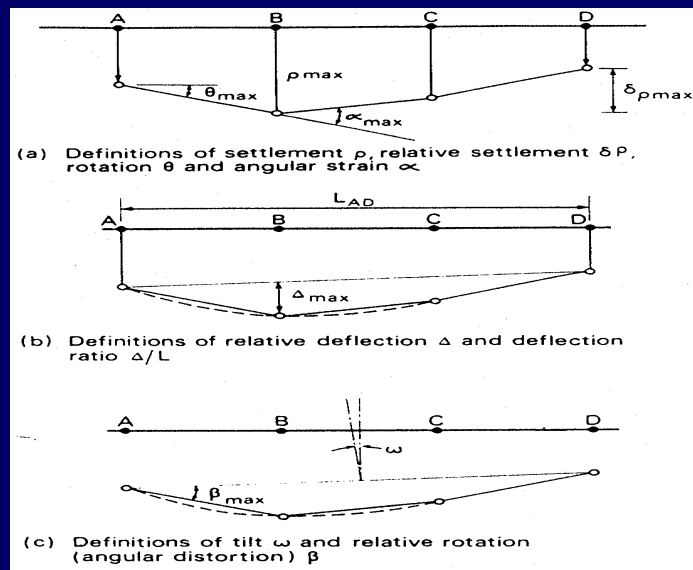
**Table 2 - Laboratory tests**

Test	Strength Parameters			Deformation Parameters		
	$S_u$	$c$	$\phi$	$E$	$G_{max}$	$M$
Direct shear		x	x			
Uniaxial compression				x		
Triaxial	x	x	x	x		
Odometer						x



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**Fig 2 - Definition of foundation movements**



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**Table 5 - Allowable deformations**

A – Concrete buildings and reinforced walls						B – Wall without reinforcement			
						Deflection ratio $\Delta/L$	Meyerhof (1956)	Polshin & Tokar (1957)	Burland & Wroth (1975)
Allowable values for rotations	Skempton and MacDonald (1956)	Meyerhof (1956)	Polshin et Tokar (1957)	Bjerrum (1963)	EC7 (1994)	Deformation $\cup$	1/2500	L/H < 3 1/3500 to 1/2500; L/H > 5 1/2000 to 1/1500	1/2500 L/H = 1 1/1250 L/H = 5
Structural Damages and cracks on walls	1/150 1/300	1/250 1/500	1/200 1/500	1/150 1/500	1/150 1/300	Deformation $\cap$	–	–	1/5000 L/H = 1 1/2500 L/H = 5



**Table 9 - Damages categories in buildings**

Damage category	Degree of severity	Description of damage
0	Negligible	Hairline cracks 0,1 mm
1	Very light	Fine cracks ,easily treated
2	Light	Cracks easily filled
3	Moderate	Cracks required some opening
4	Severe	Extensive repair working involving breaking and replacement
5	Very Severe	Major repair involving partial or complete rebuilding



**Table 10 - Categories of damages in buildings**

Category of damage	Degree of severity	Limiting tensile strain (%)
0	Negligible	0 - 0,05
1	Very slight	0,05 - 0,075
2	Slight	0,075 - 0,15
3	Moderate	0,15 - 0,3
4 to 5	Severe to very severe	>0,3



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**Table 11 - Key attributes of different types of piles tests**

	Integrity Testing	High-Strain Dynamic Testing	Kinetic Testing	Static Testing
Mass of Hammer (Kg)	0.5 - 5	2,000 - 10,000	2,000 - 5,000	N/A
Pile Peak Strain (istr)	2 - 10	500 - 1,000	1,000	1,000
Pile Peak Velocity (mm/s)	10 - 40	2,000 - 4,000	500	$10^{-3}$
Peak Force (kN)	2 - 20	2,000 - 10,000	2,000 - 10,000	2,000 - 10,000
Force Duration (ms)	0.5 - 2	5 - 20	50 - 200	$10^7$
Pile Acceleration (g)	50	500	0.5 - 1	$10^{-14}$
Pile Displacement (mm)	0.01	10 - 30	50	>20
Relative Wave Length	0.1	1.0	10	$10^8$



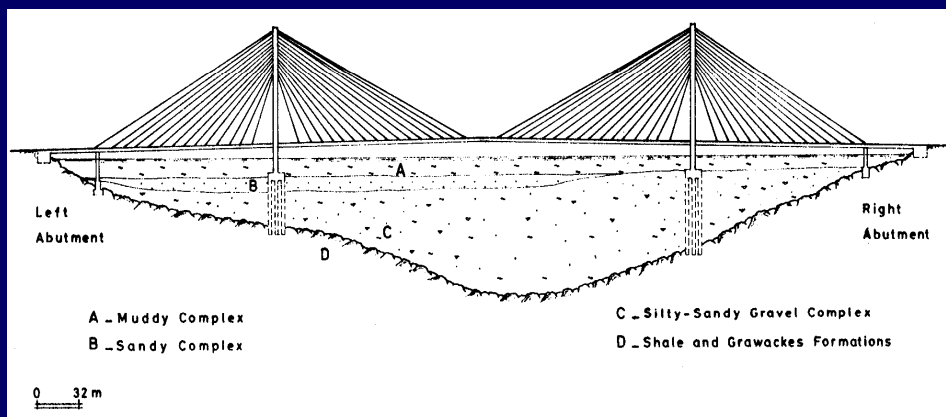
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## Guadiana Bridge



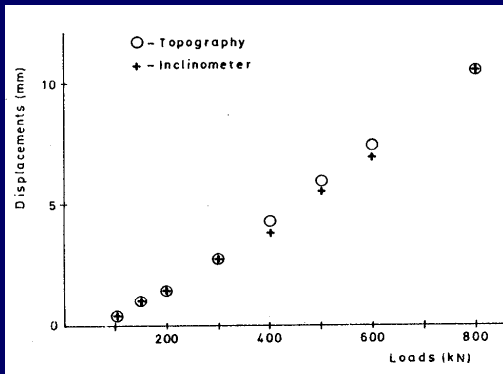
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### Figure 3 - General view and foundation section



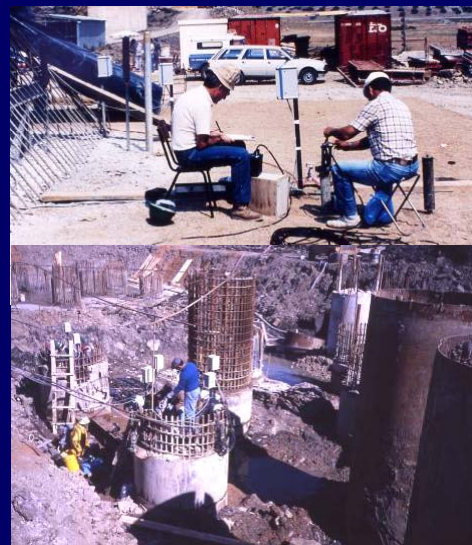
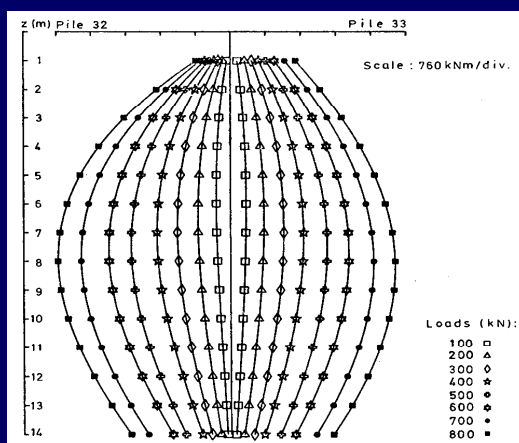
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**Figure 4 - Displacements of pile head 33**



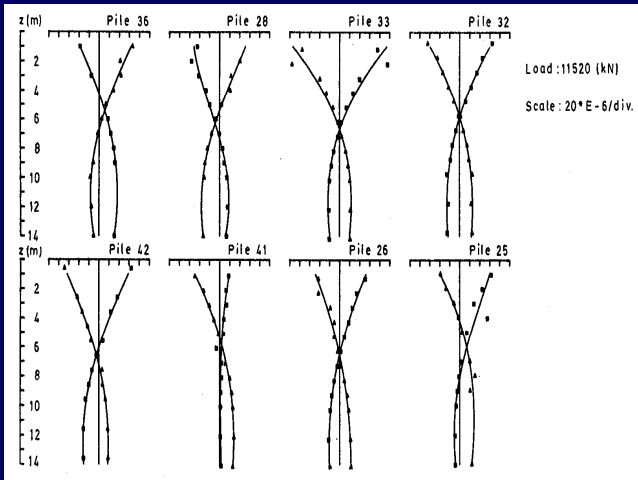
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**Figure 5 - Distribution of bending moments**



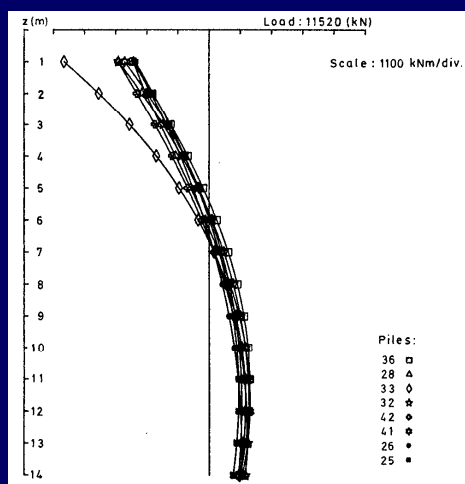
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**Figure 6 - Recorded of observed strains in piles**



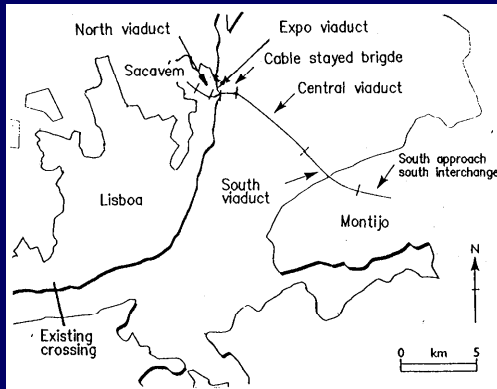
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**Figure 7 - Distribution of bending moments**



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## Figure 8 - New Tagus crossing site



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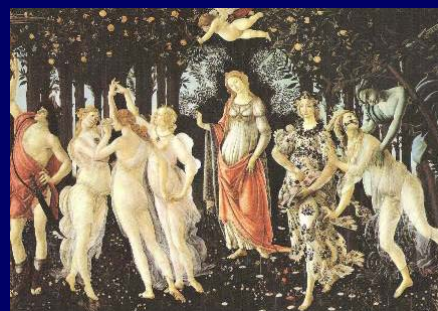
## New Tagus crossing site

When I was invited to act as Owner Consulting to co-ordinate the Geotechnical Design Team I felt very honoured, but soon became worried

*I am very busy  
I have already begun with my survey*

*And I began to write my next error.*

**Bertolt Brecht**



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## Distribution of Field Tests

TESTS	LNEC / GATTEL	ACE / TEJOPROJECTO	TOTAL
Boreholes	23	91	114
Undisturbed sampling	0	7	7
Self-boring pressuremeter	2	17	19
Vane-shear tests	4	14	18
Crosshole	1	10	11
PCPT	4	108	112
Seismic cone	0	6	6



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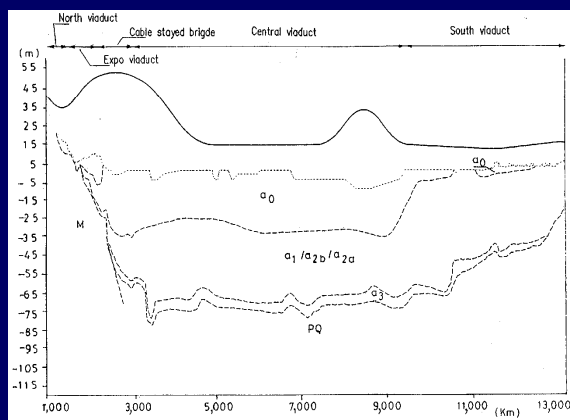
## Distribution of Laboratory Tests

TESTS	LNEC / GATTEL	ACE / TEJOPROJECTO	TOTAL
Identification	25	206	231
Sieve curves	25	204	229
Odometer	4	56	60
Triaxial	6	52	58
Cyclic simple shear	0	12	12
Direct shear	0	13	13
Permeability	0	24	24
Chemical	0	12	12
Resonant column	0	6	6
Cyclic triaxial	0	6	6
Torsional-shear density	0	3	3
Particle density	0	12	12



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### Figure 9 - Simplified geological profile



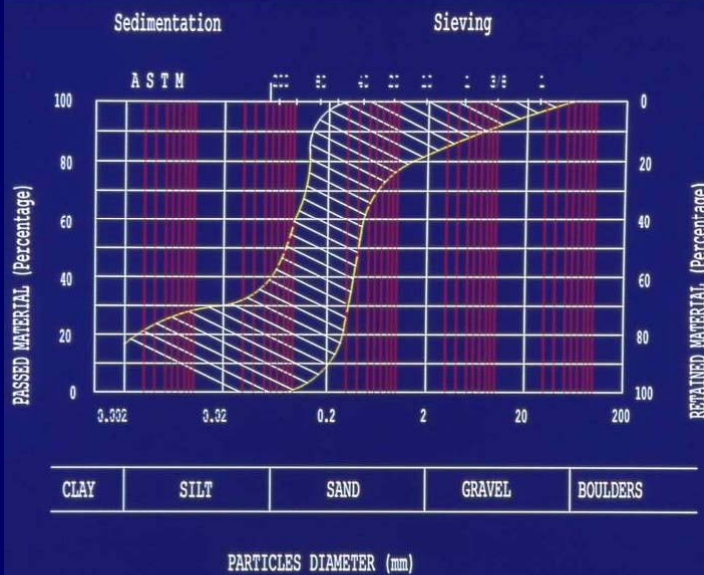
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## Liquefaction Assessment

- SPT Tests
- CPT Tests
- Seismic Tests



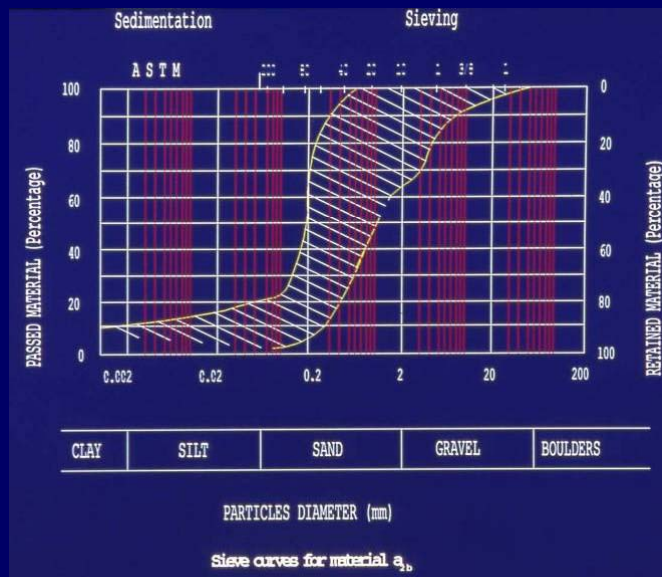
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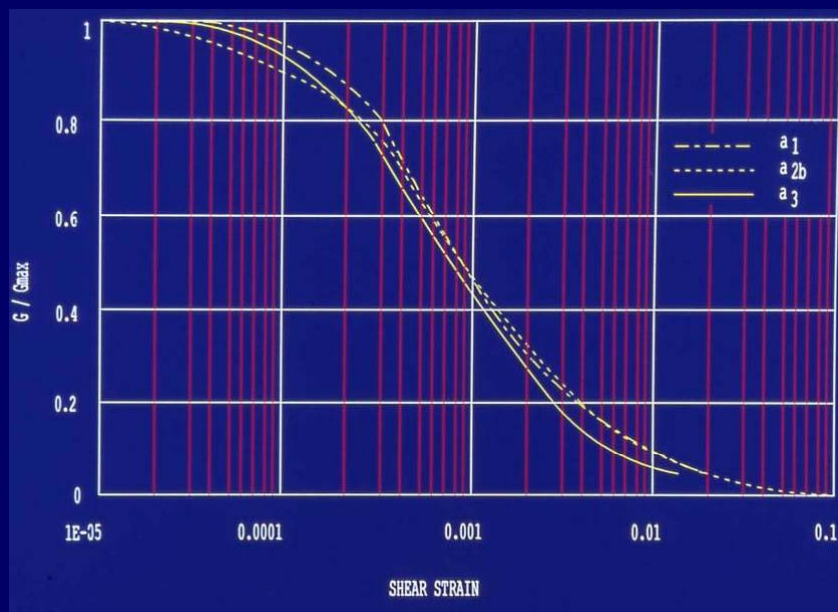
Sieve curves for material  $a_1$



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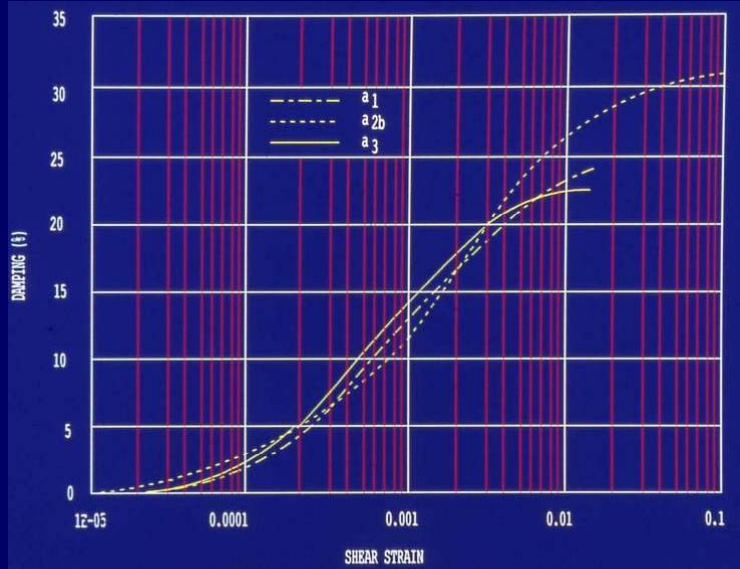


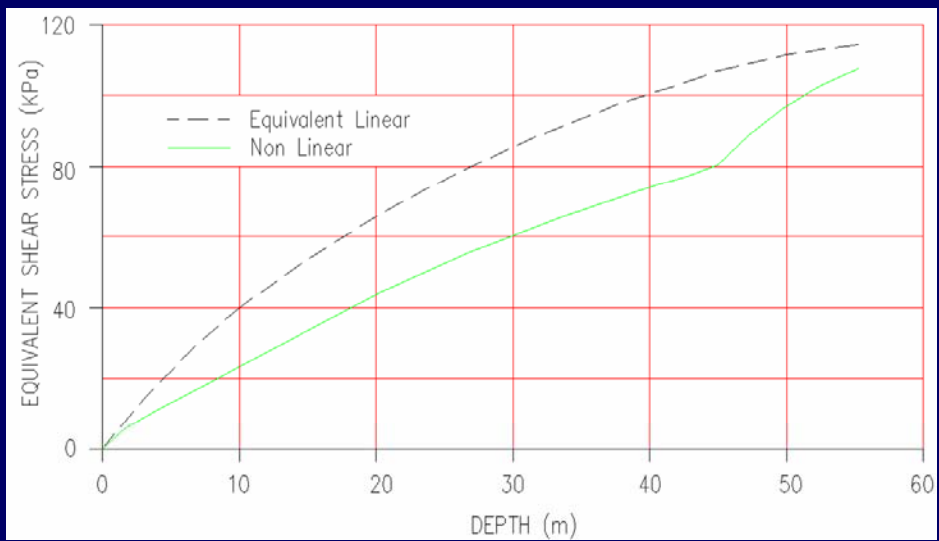
Figure 6 Damping curves



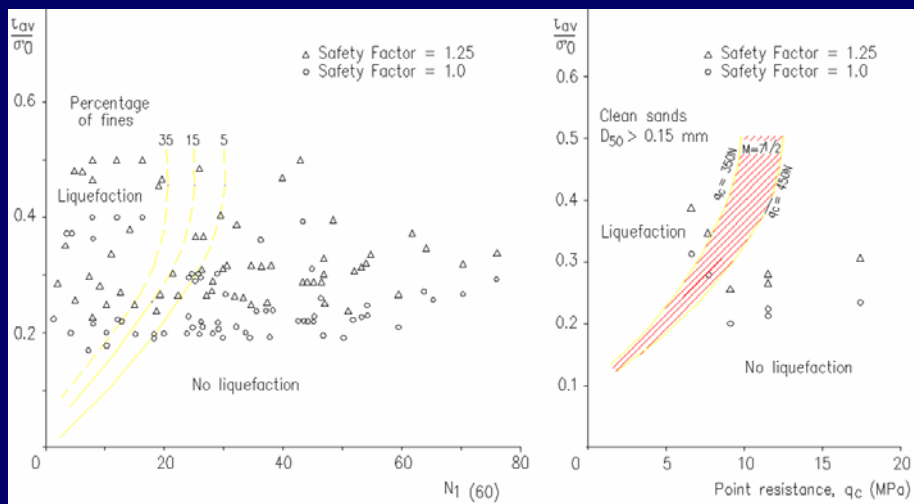
## Models

- Total Stress Model Shake Code
- Effective Stress Model Dynaflo Code





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## Sieve Characteristics of the Materials

Structure	Material a <sub>1</sub>			Material a <sub>2b</sub>		
	F <sub>n</sub> (%)	D50 (mm)	NCG	F <sub>n</sub> (%)	D50 (mm)	NCG
Main Bridge	17.8	0.13	4	-	-	-
Central Viaduct	15.9	0.14	16	10	0.4	18
South Viaduct	11.2	0.14	29	2.8	0.7	4



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## Cable Stayed Bridge Evaluation of Liquefaction Potential Material a<sub>1</sub>

(1) Pier	(2) No. of Borehole or CPT	(3) Depth (m)	(4) Thickness (m)	(5) N <sub>60</sub>	(6) (q <sub>s</sub> ) <sub>60</sub> (MPa)	(7) σ' <sub>o</sub> (kPa)	(8) C <sub>v</sub>	(9) N <sub>t</sub> (60)	(10) (q <sub>s</sub> ) <sub>t</sub> (MPa)	(11) T <sub>equiv.</sub> (kPa)	(12) τ/σ' <sub>o</sub>	(13) τ/σ' <sub>o</sub> × 1.1	(14) τ/σ' <sub>o</sub> × 1.25	(15) Ref.	(16) Remarks
PS	BD/PS	34.2-38.2	4.0	52	-	338	0.58	30	-	53	0.16	0.17	0.20	13	N.L
PS	CPTD/PS	33.5-38.0	4.5	-	8.5	324	0.44	-	3.7	48	0.15	0.16	0.19	14	N.L
PS	B/PS	34.5-35.3	0.8	14	-	311	0.61	9	-	45	0.14	0.16	0.18	15	L
PS	B/PS	35.3-36.7	1.4	45	-	324	0.59	27	-	48	0.15	0.16	0.19	16	N.L
PS	B/PS	36.7-38.8	2.1	20	-	338	0.58	12	-	53	0.16	0.17	0.20	17	L
PS	BU/PS	31.8-36.0	4.2	23	-	306	0.61	14	-	44	0.14	0.16	0.18	18	N.L
PS	BU/PS	37.7-39.7	2.0	31	-	342	0.56	17	-	53	0.15	0.17	0.19	19	N.L
PS	CPTU/PS	31.8-36.0	4.2	-	7.5	306	0.46	-	3.45	44	0.14	0.16	0.18	20	N.L
PS/P4	B/PS-P4	33.5-38.5	5.0	48	-	315	0.59	28	-	47	0.15	0.16	0.19	21	N.L
PS/P4	B/PS-P4	38.5-41.5	3.0	26	-	356	0.55	14	-	58	0.16	0.18	0.20	22	N.L
P4	CPT/P4	33.5-45.0	11.5	-	8.5	347	0.44	-	3.74	54	0.16	0.17	0.19	23	N.L
P5	CPT/P5	34.0-44.0	10.0	-	9	351	0.44	-	3.96	55	0.16	0.17	0.20	24	N.L
P6	B/P6	33.0-38.0	5.0	51	-	324	0.59	30	-	48	0.15	0.16	0.19	25	N.L
P6	B/P6	38.0-42.0	4.0	58	-	360	0.55	32	-	59	0.16	0.18	0.20	26	N.L
P6	B/P6	42.0-46.0	4.0	43	-	396	0.53	23	-	66	0.17	0.18	0.21	27	N.L



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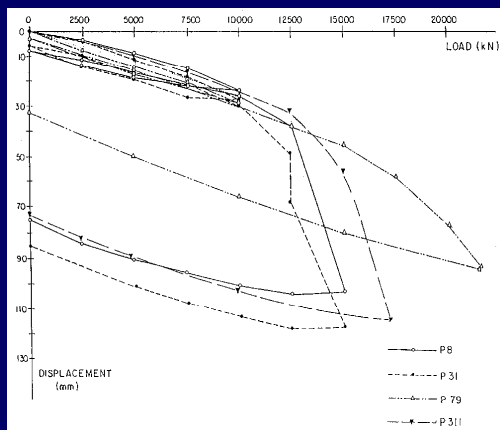
## Summary Table Liquefaction Susceptible Zones

Structure	Material a <sub>1</sub>			Material a <sub>2b</sub>		
	Treated Values	Piers Zones Susceptible to Liquefaction	Percentage	Treated Values	Piers Zones Susceptible to Liquefaction	Percentage
Expostion Viaduct	7	0	0	6	1	17
Main Bridge	27	2	7	24	1	4
Central Viaduct	291	19	7	333	14	4
South Viaduct	90	20	22	43	0	0
<b>TOTAL</b>	<b>415</b>	<b>41</b>	<b>10</b>	<b>406</b>	<b>16</b>	<b>4</b>



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## Vertical pile load tests Figure 10 - Load settlements curves

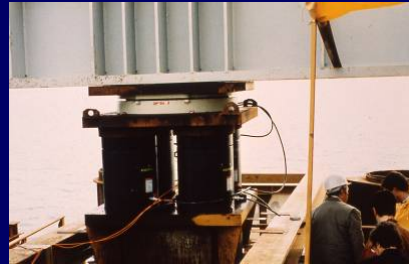


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## Vertical pile load tests

Table 13 - Failure Loads

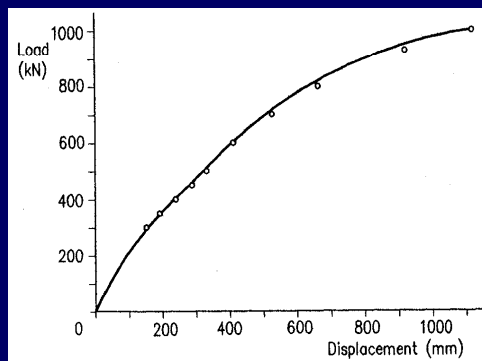
P8		P31		P79		P3li
m	p	m	p	m	p	m
15	20.3	15	21.4	>21.15 24.5	>22.7	>17.5
m—measured		p—predicted loads in MN				



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## Horizontal pile load tests

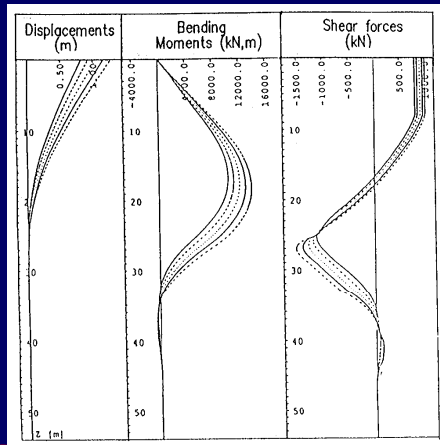
Figure 11 - Measured load displacement curve



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## Horizontal pile load tests

Figure 12 - Computed values for piles displacements, bending moments and shear forces



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## Dynamic pile load tests

### Shaker



### Velocity transducers



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## Dynamic pile load tests

### Shaker



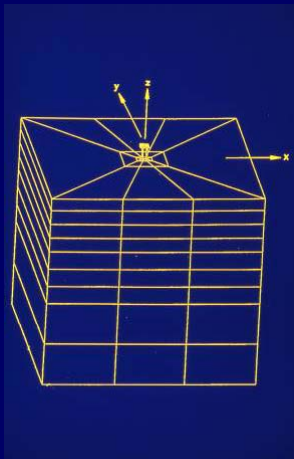
### Accelerometers



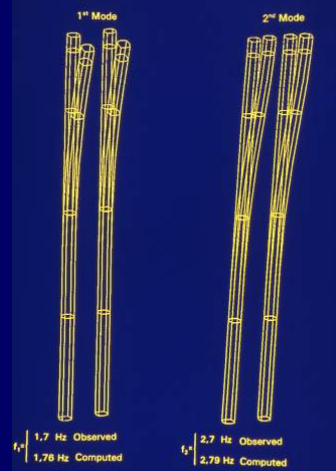
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## Dynamic pile load tests

### Finite element mesh



### First two vibration modes

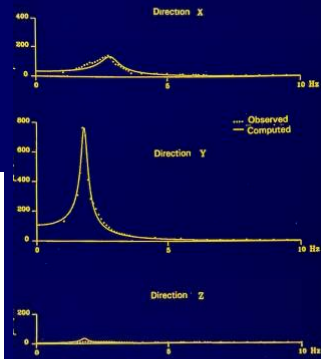
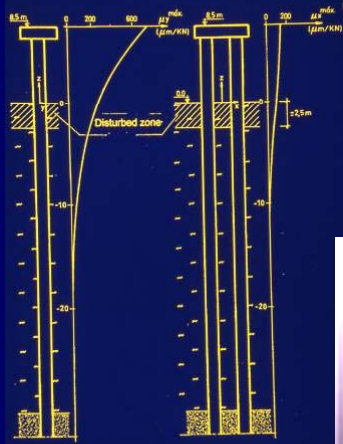


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## Dynamic pile load tests

Variation of maximum displacement

Displacement transfer function



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## NEW TAGUS BRIDGE Construction Issues



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## NEW TAGUS BRIDGE Construction Issues



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## Superstructure Measurements

- Deck displacements
- Piers rotations and deformations
- Deck and stays temperatures
- Air temperature, relative humidity and wind speed
- Seismic and wind induced accelerations
- Force stays



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## Infrastructure Measurements

- Pile head displacements
- Horizontal displacements along the piles shaft
- Strain distribution of the piles
- Seismic accelerations



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## WARNING LEVELS

- Warning level 1 - no interruption of traffic
- Warning level 2 - limitation of traffic
- Warning level 3 - interruption of traffic
- Warning level 4 - decision concerning the traffic



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## INSPECTIONS

- Reference situation - detail inspection
- Daily inspections
- Annual inspection
- Five year inspection



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## CONCLUSIONS

- Design situations shall be verified that no relevant limit state is exceeded
- Limit states shall be verified by one or a combination of the following methods: by design by calculation, design by prescriptive measures, design by load tests, experimental models and observational method
- None of existing procedures for calculating pile capacity is reliable
- For design purposes field tests with instrumented piles are highly recommended
- Load tests performed in Guadiana bridge and New Tagus bridge for design purposes have shown the advantages to calibrate the design parameters and to assess the suitability of the construction method



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## FINAL REMARKS

- It is important to notice that true innovators have a mantra. They are constantly daring to make things better. They challenge the commonly accepted. They see no limits. We should not forget that growth, evolution and invention sustain the life.
- So we need to keep challenging ourselves to think better, do better and be better. Confront our limitations. Failure is a gift anyway. It takes us closer our dreams, equips us with more knowledge. Success and failure go hand to hand.
- In dealing with Pile Foundations Design we should not forget :
- **Improve:** Always be getting better;
- **Observe:** We need to keep our eyes open to absorb the changes;
- **Adapt:** The conditions are different, so we need to keep monitoring the process;
- **Connect:** We need to receive different inputs



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## MY VISION - LESSONS FOR TOMORROW

1. Further discussion in recent codes related performance based design and allowable displacements for the 2 levels of seismic action.
2. Vulnerability is associated with the degree of loss or the potential loss and integrates the range of opportunities that people face in recovery. Resilience is a measure of the system's capacity to absorb recover from a hazardous event



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## MY VISION - LESSONS FOR TOMORROW

- 3. The recognition of a better planning, early warning, quality of evacuation for extreme events. Plato (428-348 BC) in the Timaeus stressed that destructive events that happened in the past can happen again, and for prevention and protection we should followed Egyptians example and preserve the knowledge through the writing.
- 4. The none recognition for the engineers work is lacking since the past, e.g. the Egyptian King Cheops has his name linked with the great pyramid, a master piece engineer work, but the history does not record the name of the engineer.
- 5. Interaction with the Owners, Decision Makers, Society and General Public and to explain that the concern for man and fate has been always the core interest of the engineer profession.
- 6. The engineers should have competence, devotion and honesty.
- 7. The Engineers should enjoy the activities during the day, but only by performing those that will allow to sleep at the night.



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## MY VISION - LESSONS FOR TOMORROW

8. Contribution of Voltaire and the book *Candide* published in 1759, after the Lisbon earthquake (1755), for the change from the intellectual optimism and potential fatalism that is a necessary condition for the construction of future scenarios in a reliability and risk analysis context.

•9. It is important to narrow the gap between the university education and the professional practice, and remember that Theory without Practice is a Waste, but Practice without Theory is a Trap. Kant has stated that *Nothing better than a good theory, but following Seneca Long is the way through the courses, but short through the example.*

•10. 7 Pillars of Engineering Wisdom: Precedents, Practice, Principles, Prudence, Perspicacity, Professionalism and Prediction.



## HYPPOCRATES

- The Art is long
- The Life is short
- Experience is fallacious
- And Decision is difficult

